



Atty Dkt No. 8400-0013

Stanford No. S02-222

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Paul A. WENDER et al.

Confirmation No.: 3262

Serial No.: 10/078,247

Group Art Unit: 1653

Filing Date: February 14, 2002

Examiner: Unassigned

Title: TRANSPORTERS COMPRISING SPACED ARGININE MOIETIES

Handwritten notes and stamps: "A 11", "JUL 13 2004", "TECH CENTER 1000 500", and a signature.

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION UNDER 37 CFR § 1.97(e)**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is a Second Supplemental Information Disclosure Statement submitted for the Examiner's consideration. Applicants respectfully request that the Examiner review and make of record the references identified below.

The references listed below are being submitted to the Office promptly after they have become known to applicants and are being submitted prior to the final determination on patentability by the Office.

The references listed below were cited in European Search Report dated June 15, 2004 for the European application corresponding to the above-identified U.S. patent application. A copy of the Search Report, including an indication of the purported relevance of the cited references, is enclosed. Copies of the references are also submitted herewith.

A PTO-1449 form listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record. The references are as follows:

FOREIGN PATENT DOCUMENTS		
Document No.	Publication Date	Country
WO 00/74701	12/14/00	PCT
WO 01/13957	3/1/01	PCT
WO 01/62297	8/30/01	PCT
WO 02/069930	9/12/02	PCT

NON-PATENT DOCUMENTS
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MI et al. (2000), "Characterization of a Class of Cationic Peptides Able to Facilitate Efficient Protein Transduction <i>in Vitro</i> and <i>in Vivo</i> ," <i>Molecular Therapy</i> <u>2</u> (4):339-347.
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MORRIS et al. (2001), "A Peptide Carrier for the Delivery of Biologically Active Proteins Into Mammalian Cells," <i>Nature Biotechnology</i> <u>19</u> :1173-1176.
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This Second Supplemental Information Disclosure Statement is not intended as a representation that additional information material to the examination of this application does not exist or that any of the above references constitutes prior art to the present application within the meaning of 35 USC § 102.

As this Second Supplemental Information Disclosure Statement is being filed within three months of the date of the International Search Report (i.e., June 15, 2004), and before receipt of a first Office Action on the merits, no fee is required at this time. If, for any reason, a fee is found to be necessary, our Deposit Account No. 18-0580 may be charged therefor.

The undersigned hereby certifies that each item of information contained in this Second Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (37 CFR § 1.97(e)(1)).

Respectfully submitted,

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/078,247
Filing Date	February 14, 2002
First Named Inventor	Paul A. WENDER et al.
Art Unit	1653
Examiner Name	Unassigned
Attorney Docket Number	8400-0013

**U.S. PATENT DOCUMENTS****FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent Document No.	Publication Date	Country	Class	Subclass	T
	AI	WO 00/74701	12/14/00	PCT			
	AJ	WO 01/13957	3/1/01	PCT			
	AK	WO 01/62297	8/30/01	PCT			
	AL	WO 02/069930	9/12/02	PCT			

**OTHER DOCUMENTS — NONPATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), Title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	AM	MI et al. (2000), "Characterization of a Class of Cationic Peptides Able to Facilitate Efficient Protein Transduction <i>in Vitro</i> and <i>in Vivo</i> ," <i>Molecular Therapy</i> 2(4):339-347.	
	AN	MORRIS et al. (2001), "A Peptide Carrier for the Delivery of Biologically Active Proteins Into Mammalian Cells," <i>Nature Biotechnology</i> 19:1173-1176.	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.